

22883

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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|   |                      |                        |             |
|---|----------------------|------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/456,027             |             |
|   | Filing Date          | Dec 3, 1999            |             |
|   | First Named Inventor | Banga, Gaurav          |             |
|   | Art Unit             | 2114                   |             |
|   | Examiner Name        | Chu, G.                |             |
| Total Number of Pages in This Submission  | 5+                   | Attorney Docket Number | 103.1027.01 |

| ENCLOSURES (check all that apply)  |   |  |
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| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                      |
|--|--------------------------------------|
| Firm or Individual name                    | Steven A. Swernofsky Reg. No. 33,040 |
| Signature                                  | <i>SA Swernofsky</i>                 |
| Date                                       | 10-28-2004                           |

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

22883

Attorney Docket 103.1027.01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Banga

Serial No. 09/456,027

Filed: 12/3/1999

For: Computer Assisted Automatic Error  
Detection and Diagnosis of File Servers

Art Unit: 2114

Examiner: Chu, G.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited  
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Date Name

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner  
for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted under:

- ☒ 37 CFR 1.97(b)  
(Within three months of the national application filing or the entry of international  
application, OR before the mailing date of first Office Action, whichever occurs last.)
- ☐ 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e)  
or ☐ \$180.00 fee set forth in 37 CFR 1.17(p)  
(After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance,  
whichever occurs first.)
- ☐ 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e)  
and ☐ \$180.00 fee set forth in 37 CFR 1.17(p)  
(After the CFR 1.97(c) time period, but before payment of the Issue fee.)

### **List of Documents**

Applicant(s) submits herewith:

- ☒ Form PTO SB/08A (Information Disclosure Statement by Applicant) listing patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.
- ☒ Form PTO SB/08B (Information Disclosure Statement by Applicant) listing non-patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.

### **Copies of Documents**

- ☒ Applicant(s) submits herewith copies of all listed documents.
- ☐ Copies of the listed documents are not included with this filing. Copies of these documents were previously submitted in the following related application:

Docket No.: \_\_\_\_\_  
Serial No.: \_\_\_\_\_  
Filed: \_\_\_\_\_

THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE LISTED DOCUMENTS.

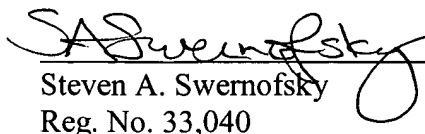
At the present time, Applicant(s) submits that the listed document(s), taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

In the event fees are required, authorization is hereby granted to charge these fees to Deposit Account No. 50-0365.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: 10-28-2004

  
Steven A. Swernofsky  
Reg. No. 33,040

Swernofsky Law Group  
P.O. Box 390013  
Mountain View, CA 94039-0013  
(650) 947-0700

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

NOV 01 2004 (use as many sheets as necessary)

Sheet 1 of 1

*Complete if Known*

|                           |            |
|---------------------------|------------|
| <b>Application Number</b> | 09/456,027 |
|---------------------------|------------|

|                    |           |
|--------------------|-----------|
| <b>Filing Date</b> | 12/3/1999 |
|--------------------|-----------|

|                             |       |
|-----------------------------|-------|
| <b>First Named Inventor</b> | Banga |
|-----------------------------|-------|

|                |      |
|----------------|------|
| Group Art Unit | 2114 |
|----------------|------|

|               |         |
|---------------|---------|
| Examiner Name | Chu, G. |
|---------------|---------|

|                        |             |
|------------------------|-------------|
| Attorney Docket Number | 103.1027.01 |
|------------------------|-------------|

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

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<sup>3</sup>Unique citation designation number. <sup>4</sup>See attached Kinds of U.S. Patent Documents. <sup>5</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>6</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>7</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.


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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | Application Number     | 09/456,027  |
|  |   |    |   | Filing Date            | 12/3/1999   |
|  |   |    |   | First Named Inventor   | Banga       |
|  |   |    |   | Group Art Unit         | 2114        |
|  |   |    |   | Examiner Name          | Chu, G.     |
| Sheet  | 1 | of | 1 | Attorney Docket Number | 103.1027.01 |

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|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
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